



SONATA FINANCE PVT. LTD.

II Floor, CP-1, PG Towers, Kursi Road, Vikas Nagar,
Lucknow – 226026, Uttar Pradesh, India

Email: info@sonataindia.com, Website: www.sonataindia.com

Ph.: +91-522-2334900, Toll Free: 1800-2100-102

CIN: U65921UP1995PTC035286

NBFC MFI Regn. No.: B-12.00445

Ref: SEBI/BSE/23-24/056

Date: October 04, 2023

Scrip Code: 949876

Company Code: 10494

To,
The Manager
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai-400 001

Subject: Submission of Compliance Certificate for Structural Digital Database (“SDD”) under Regulation 3(5) and 3(6) under SEBI (Prohibition of Insider Trading) Regulations, 2015 (“PIT Regulations”) for the quarter ended September 30, 2023.

Dear Sir/Madam,

Pursuant to Regulation 3(5) and 3(6) of the PIT Regulations, 2015, please find enclosed herewith the SDD Compliance Certificate (*Annexure-1*) for the quarter ended September 30, 2023.

We request you to take the above information on record.

Thanking You,

For Sonata Finance Private Limited

Anjali
Manwani
Siddiqui

Digitally signed by
Anjali Manwani Siddiqui
Date: 2023.10.04
13:17:58 +05'30'

Anjali Manwani Siddiqui

Company Secretary and Compliance Officer

Membership No. F12612

Encl: as above

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ANNEXURE 1**COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER 30, 2023***(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)*

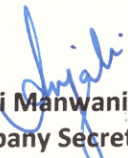
I, **Anjali Manwani Siddiqui**, Compliance Officer of **SONATA FINANCE PRIVATE LIMITED** am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (**PIT Regulations**) and I certify that:

1. The Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 2 (two) number of events during the quarter ended September 30, 2023 and has captured 2 (two) number of the said required events.

I would like to report that no non-compliance was observed in the previous quarter.

For SONATA FINANCE PRIVATE LIMITED


Anjali Manwani Siddiqui
Company Secretary and Compliance Officer
Membership No: F12612

**Date: 04/10/2023****Place: Lucknow**